

**MODULES AND TITLE IV – ADVANCED CONCEPTS:
PELL RECALCULATIONS**

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AGENDA

- 01 Pell Calculations vs Pell Disbursements
- 02 Initial Calculations
- 03 Mandatory and Optional Recalculations
- 04 Scenarios
- 05 References and Resources

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**PELL RECALCULATIONS VS
PELL DISBURSEMENTS**

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PELL CALCULATIONS VS PELL DISBURSEMENTS

- Some schools choose to pay the disbursement for a payment period in installments
 - ½ at the beginning of the payment period and ½ when the student begins Module 2, for example
- This is not a recalculation

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PELL CALCULATIONS VS PELL DISBURSEMENTS

- Student always had the enrollment status that the student started at, or had locked in, and substantiated with attendance
- Disbursement for that enrollment status is being paid in installments
 - School choice
 - Not an ED requirement

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PELL CALCULATIONS VS PELL DISBURSEMENTS

- This student is full time even if the school chooses to divide the disbursement into two halves

Fall Semester		Sept. 1 – Dec. 22
Module 1	\$	Module 2
6 hours		6 hours

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INITIAL CALCULATIONS

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INITIAL PELL CALCULATIONS

- Document the date that you initially calculate a student's Pell Grant
 - Earliest date is the date of receipt of an ED-produced EFC*, such as on a SAR or ISIR (assuming the school has a documented or projected enrollment status for the student)

*Note: An ED-produced EFC may be an EFC from a SAR/ISIR, FAA Access, or FAFSA on the Web

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INITIAL PELL CALCULATIONS

- If school fails to document the date of the initial calculation, you must use the later of
 - the date that the SAR or ISIR is first received and the student's enrollment status as of that date
 - or
 - the date the student enrolls

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INITIAL PELL CALCULATIONS

- Your school is considered to have received the ISIR on the date it was processed
 - This date is labeled "Processed Date" on the ISIR

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MANDATORY AND OPTIONAL RECALCULATIONS

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MANDATORY PELL RECALCULATIONS

- Student's enrollment status changes between payment periods
- Student's EFC changes
- Student does not begin attendance in coursework associated with sufficient credits to calculate that student's enrollment status
- Applies to
 - Pell
 - TEACH
 - Iraq-Afghanistan Service Grant

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MANDATORY PELL RECALCULATIONS

- The reg continues but shifts to a mandatory recal...
- (ii) If a student's projected enrollment status changes during a payment period before the student begins attendance in all of his or her classes for that payment period, the institution **shall** recalculate the student's enrollment status to reflect only those classes for which the student actually began attendance

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OPTIONAL PELL RECALCULATIONS

- 690.80(b) Change in enrollment status.

(2)(i) If the student's projected enrollment status changes during a payment period after the student has begun attendance in all of his or her classes for that payment period, the institution may (but is not required to) establish a policy under which the student's award for the payment period is recalculated. Any such recalculations must take into account any changes in the cost of attendance. If such a policy is established, it must apply to all students.

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OPTIONAL PELL RECALCULATIONS

- Some schools choose to have no Pell recalculation policy
 - They use the initial calculation and never recalculate
- Some choose to have a policy that establishes one Pell Recalculation Date (PRD) for each payment period
 - Many schools choose the drop/add deadline or use the census date but any date is ok to use in the policy

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OPTIONAL PELL RECALCULATIONS

- Still others choose to have a policy that establishes multiple Pell Recalculation Dates for each payment period
 - A date is established in each module that occurs in a given payment period
 - Only one PRD is ever applicable to a given student at one time based on the latest module or term in which the student attends classes
 - But the PRD could change if the student's classes change or his or her attendance changes

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SCENARIOS

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FIVE SCENARIOS

- All deal with determining whether the student is, in fact, subject to having his or her Federal Pell Grant recalculated (recalc) based on a change in the student's enrollment status
- While a school sometimes discovers that a Pell recalculation is necessary when a student withdraws, this session is only about recalculations and NOT about withdrawals and R2T4
 - A Pell recalc is always done BEFORE an R2T4 calculation

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SCENARIO 1 – RICK



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UNIVERSITY OF CASABLANCA

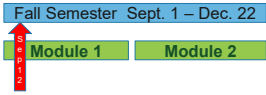
- UC has a standard semester term calendar with a 16 week Fall, a 16 week Spring, and a 10 week Summer
- Fall and Spring both have classes that last the entire semester but both also have two 8 week modules contained within the semester, Modules 1 and 2
- UC establishes a single PRD for each payment period which is the 12th day of each payment period
 - UC will not recalculate a student's Pell Grant, up or down, when enrollment changes after that date

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SCENARIO 1 – RICK

- Rick, a Pell recipient, attends Casablanca University in the Fall Semester which starts on Sept. 1 and has a PRD of Sept. 12
- On Aug. 31, he registers for 3 hours in Module 1 of Fall and 3 hours in Module 2 of Fall and begins attendance in Module 1 on Sept. 1
- Rick receives all of his ½ time Pell at the start of the Fall Semester



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SCENARIO 1 – RICK

- Let's say Rick drops his Module 1 class on Sept. 14
- Must the Pell be recalculated???

 - A = Yes
 - B = No

Fall Semester Sept. 1 – Dec. 22

Module 1

Module 2

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SCENARIO 1 – RICK

- Must the Pell must be recalculated???

 - It depends!

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SCENARIO 1 – RICK

- Rick may or may not be a withdrawn student at this point
- CU can get written confirmation from Rick that he plans to return for Module 2 (as long as within 45 days from end of module 1) and then he is not a withdrawn student, for now...
- No written confirmation = Rick is a withdrawn student and Pell would be recalculated due to no attendance in Module 2

Fall Semester Sept. 1 – Dec. 22

Module 2


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Federal Student Aid

SCENARIO 1 – RICK

- If Rick is a withdrawn student CU will
 - First, recalculate Pell because he never began attendance in Module 2
 - Second, calculate an R2T4 using the recalculated Pell amount



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SCENARIO 1 – STEPHEN AND LYDIA



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SCENARIO 2 – STEPHEN AND LYDIA

- Stephen and Lydia are Pell recipients and attend Skateboard College in the Fall Semester which starts on Sept. 1 and has a PRD of Sept. 12
- On Aug. 31, Stephen registers for 3 hours in Module 1 of Fall and 3 hours in Module 2 of Fall and begins attendance in Module 1 on Sept. 1

Fall Semester Sept. 1 – Dec. 22

Module 1

Module 2

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SCENARIO 2 – STEPHEN AND LYDIA

- On Aug. 31, Lydia registers for the same three hours that Stephen has in Module 1
- Near the end of Module 1, Lydia finds out Stephen is taking three hours in Module 2 and promptly registers for the same class
- Both students begin the class in Module 2 on Oct. 20

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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Federal Student Aid

SCENARIO 2 – STEPHEN AND LYDIA

- Must Stephen's Pell be recalculated?
 - A = Yes
 - B = No

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SCENARIO 2 – STEPHEN AND LYDIA

- Does Stephen's Pell get recalculated?
 - B = No
 - Stephen was calculated as a half time Pell recipient
 - We locked in half time on Sept. 12, the PRD
 - Stephen began attendance in all hours used to calculate his enrollment status

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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SCENARIO 2 – STEPHEN AND LYDIA

- Remember, on Aug. 31, Lydia registered for the same three hours that Stephen had in Module 1
- Near the end of Module 1, Lydia found out that Stephen is taking three hours in Module 2 and promptly registered for the same class
- Both begin the class in Module 2 on Oct. 20

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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Federal Student Aid

SCENARIO 2 – STEPHEN AND LYDIA

- Does Lydia's Pell get recalculated?
 - A = Yes
 - B = No

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Federal Student Aid

SCENARIO 2 – STEPHEN AND LYDIA

- Does Lydia's Pell get recalculated?
 - B = No
 - Lydia was calculated as a less than half time (LHT) Pell recipient
 - We locked in her less than half time status on Sept. 12, the PRD
 - Lydia began attendance in the Module 1 class
 - Lydia added the Module 2 class after the PRD and we cannot change her enrollment status up or down and she remains LHT

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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SCENARIO 2 – STEPHEN AND LYDIA AND JORGE Federal Student Aid

- Stephen and Lydia’s friend, Jorge, decides to join them in the Module 2 class
- Jorge has filled out a FAFSA and is a Pell recipient
- He enrolls in Skateboard College on Oct. 8 and registers for three hours in Module 2 and begins attendance in that module on Oct. 20, along with his buddies, Lydia and Stephen

Fall Semester Sept. 1 – Dec. 22

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Module 2

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SCENARIO 2 – STEPHEN AND LYDIA Federal Student Aid

- Does Jorge get any Pell for Fall?
 - A = Yes
 - B = No

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SCENARIO 2 – STEPHEN AND LYDIA AND JORGE Federal Student Aid

- Does Jorge get any Pell for Fall?
 - A = Yes
 - This is NOT a Pell recalculation!
 - It is an initial Pell calculation!
 - Jorge is eligible for a LHT Pell




Fall Semester Sept. 1 – Dec. 22

Module 2

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SCENARIO 3 – JERMAINE AND YVONNE

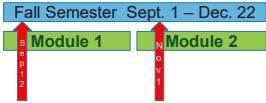


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SCENARIO 3 – JERMAINE AND YVONNE

- Jermaine and Yvonne are Pell recipients and attend Turquoise Institute in the Fall Semester which starts on Sept. 1 and has two modules and two PRDs
- Module 1 begins on Sept. 1 and has a PRD of Sept. 12
- Module 2 begins on Oct. 20 and has a PRD of Nov. 1

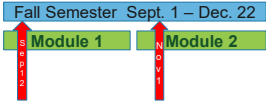


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SCENARIO 3 – JERMAINE AND YVONNE

- A student only has one PRD that applies to him or her and it is the PRD in the module or term that has the latest start date and in which the student attends a class(es)
- So, if the student has a class in Module 2 and attends at least one day in that class, that PRD applies to him or her



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SCENARIO 3 – JERMAINE AND YVONNE

- If the student does not have classes in Module 2, or never attends classes in Module 2, that PRD does not apply to him or her, **and** the PRD in Module 1 will apply
- PRDs can change if the student's classes **or modules** change

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SCENARIO 3 – JERMAINE AND YVONNE

- Remember, Jermaine and Yvonne are Pell recipients and attend Turquoise Institute in the Fall Semester which starts on Sept. 1 and has two modules and two PRDs
- On Aug. 31, Yvonne registers for 3 hours in Module 1 of Fall and 3 hours in Module 2 of Fall and begins attendance in Module 1 and Module 2, so Nov 1 PRD applies.

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SCENARIO 3 – JERMAINE AND YVONNE

- On Aug. 31, Jermaine registers for the same three hours that Yvonne has in Module 1
- Near the end of Module 1, Jermaine finds out Yvonne is taking three hours in Module 2 and promptly registers for the same class
- Both begin the class in Module 2 on Oct. 20

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SCENARIO 3 – JERMAINE AND YVONNE

- Does Yvonne's Pell get recalculated?
 - A = Yes
 - B = No

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SCENARIO 3 – JERMAINE AND YVONNE

- Does Yvonne's Pell get recalculated?
 - B = No
 - Yvonne was calculated as a half time Pell recipient
 - We locked in half time on Nov. 1, the PRD in Module 2
 - Yvonne began attendance in all hours used to calculate her enrollment status

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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Federal Student Aid

SCENARIO 3 – JERMAINE AND YVONNE

- Remember, Jermaine originally registered for the same three hours that Yvonne had in Module 1
- Near the end of Module 1, Jermaine found out Yvonne is taking three hours in Module 2 and promptly registered for the same class
- Both begin the class in Module 2 on Oct. 20

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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Federal Student Aid

SCENARIO 3 – JERMAINE AND YVONNE

- Does Jermaine's Pell get recalculated?
 - A = Yes
 - B = No

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SCENARIO 3 – JERMAINE AND YVONNE

- Does Jermaine's Pell get recalculated?
 - A = Yes
 - Originally, the Module 1 PRD applied to Jermaine
 - Now, the Module 2 PRD applies to Jermaine
 - We recalculate Jermaine's Pell from LHT to ½ time

Fall Semester Sept. 1 – Dec. 22

Module 1

Module 2

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MULTIPLE PELL RECALCULATION DATES


- Multiple PRDs are great!
- We can always give more money to the student when they add an additional class like we just did with Jermaine
- Hmmmmmmmm
- But can we?

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SCENARIO 4 – YOGI

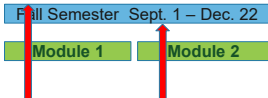


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SCENARIO 4 – YOGI

- Yogi enrolls in 12 hours, 6 are in the full semester and 6 are in Module 1
- The full semester begins on Sept. 1 and has a PRD of Sept. 12
- Module 1 begins on Sept. 1 and has a PRD of Sept. 12
- Module 2 begins on Oct. 20 and has a PRD of Nov. 1
- Does Yogi care about the Module 2 PRD?

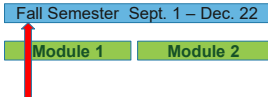


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SCENARIO 4 – YOGI

- Yogi is a full time student and begins attendance in all 12 hours
- His PRD is Sept. 12
- On Sept. 12, Yogi remains enrolled in 12 hours and he is locked in as full time and his Pell funds are disbursed
- On Sept. 30, Yogi drops the 6 hours in Module 1 and remains enrolled in the 6 hours in the full semester



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SCENARIO 4 – YOGI

- Does Yogi's Pell get recalculated?
 - A = Yes
 - B = No

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SCENARIO 4 – YOGI

- Does Yogi's Pell get recalculated?
 - B = No
 - On Sept. 30 Yogi dropped 6 of his 12 hours but remained full time since he dropped his hours after the PRD of Sept. 12

Fall Semester Sept. 1 – Dec. 22

Module 1

Module 2

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SCENARIO 4 – YOGI

- On Oct. 6, Yogi sees there is a 3 hour Baseball Management class being offered in Module 2 and he enrolls in that class
- He begins attendance in Baseball Management on Oct. 20

Fall Semester Sept. 1 – Dec. 22

Module 1

Module 2

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Federal Student Aid

SCENARIO 4 – YOGI

- Does Yogi's Pell get recalculated?
 - A = Yes
 - B = No

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Federal Student Aid

SCENARIO 4 – YOGI

- Does Yogi's Pell get recalculated?
 - A = Yes
 - Module 2's PRD is now Yogi's PRD
 - Module 1's PRD is no longer Yogi's PRD
 - On Nov. 1, what is Yogi's enrollment status?

Fall Semester Sept. 1 – Dec. 22

Module 1

Module 2

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SCENARIO 4 – YOGI


- Yogi is a ¾ time student and his full time Pell must be recalculated
- So will multiple PRDs ALWAYS result in more Pell Grant money for students?
- Not always, but often they do
- School option
- Remember, if there are multiple PRDs, they can change based on the student's actions

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SCENARIO 5 – MARIA



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SCENARIO 5 – MARIA

- Maria, a Pell recipient, attends Central University in the Fall Semester which starts on Sept. 1 and has one PRD of Sept. 12
- On Aug. 31, she registers for 3 hours in Module 1 of Fall and 3 hours in Module 2 of Fall and begins attendance in Module 1 on Sept. 1
- CU packages Maria with a ½ time Federal Pell Grant

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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SCENARIO 5 – MARIA

- Maria is taking HIST 101 (3 credit hours) during Module 1
- She has registered for HIST 102 (3 credit hours) for Module 2
- After the PRD, Maria decides that she isn't enjoying History and she drops the HIST 102 class that was going to occur in Module 2
- She replaces it with GEOL 101 (3 credit hours) and attends

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2

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Federal Student Aid

SCENARIO 5 – MARIA

- Does Maria’s Pell get recalculated???

 - A = Yes
 - B = No

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SCENARIO 5 – MARIA

- Does Maria’s Pell get recalculated?

 - B = No
 - She was half time on the PRD
 - She substantiated that status with enrollment and attendance in HIST 101 and GEOL 101

Fall Semester Sept. 1 – Dec. 22

Module 1 Module 2



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REFERENCES AND RESOURCES



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REFERENCES

- 2020-2021 FSA Handbook, Volume 3
- 34 CFR 690.80 in the Code of Federal Regulations

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ADDITIONAL CONTACT INFORMATION

CODSupport@ed.gov; 800-848-0978

- Questions related to the Common Origination and Disbursement (COD) System, the Campus-Based programs, or Iraq and Afghanistan Service Grant, Pell Grant, TEACH Grant, and Direct Loan processing

nslds@ed.gov; 800-999-8219

- Questions related to NSLDS functions such as student eligibility, overpayments, loan history, and enrollment reporting

CPSSAIG@ed.gov; 800-330-5947

- Questions related to the Central Processing System (CPS), the Student Aid Internet Gateway (SAIG), FAA Access to CPS Online, and assistance with software products such as EDconnect and EDExpress

edcaps.user@ed.gov; 888-336-8930

- Questions related to G5, the Department of Education's electronic system for grants management and payments

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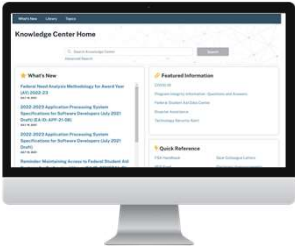
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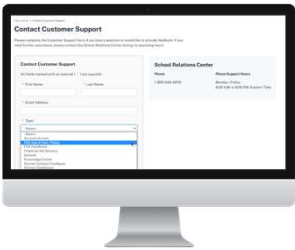
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GETTING HELP

sapartners.ed.gov/help-center/

For policy questions, use *Contact Customer Support Form* in Help Center on FSA Partner Connect. Choose "Ask A Fed/Policy" in Topic dropdown list.



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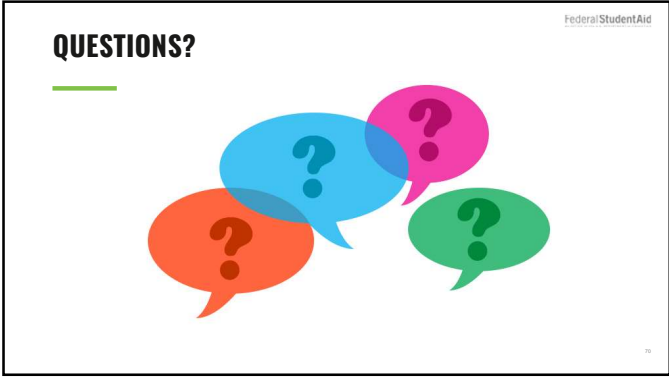
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TRAINING FEEDBACK

- All attendees receive email from FSA with link to online evaluation
- Helps ensure quality training
- Informs FSA of areas for improvement
- Serves as effective tool for "listening" to our school partners

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